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Total Number of Pages in This Submission 10

Application Number	10/550,539
Filing Date	September 22, 2005
First Named Inventor	Yoshiaki Komma, et al.
Art Unit	2626
Examiner Name	To Be Assigned
Attorney Docket No.	MTS-3563US

ENCLOSURES (Check all that apply)

- Fee Transmittal Form
- Fee Attached
- Amendment/Reply
 - After Final
 - Affidavits/Declaration(s)
- Extension of Time Request
- Express Abandonment Request
- Information Disclosure Statement
- Certified Copy of Priority Document(s)
- Response to Missing Parts/
Incomplete Application
 - Response to Missing Parts
under 37 CFR 1.52 or 1.53

- Drawing(s)
- Licensing-related Papers
- Petition
- Petition to Convert to a
Provisional Application
- Power of Attorney, Revocation,
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- Appeal Communication to Board
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Remarks:

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm Name	RatherPrestia		
Signature			
Printed Name	Lawrence E. Ashery		
Date	September 25, 2006	Registration No.	34,515

CERTIFICATE OF TRANSMISSION / MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature	
Typed or Printed Name	Donna M. Wellings
Date	September 25, 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Yoshiaki Komma, et al.

Serial No.: 10/550,539

Group No.: 2626

Filed: September 22, 2005

Examiner: To Be Assigned

For: OPTICAL PICKUP DRIVING APPARATUS AND OPTICAL PICKUP BEAM
SPOT POSITIONING METHOD (as amended)**Filing Receipt Corrections**

Office of Initial Patent Examination
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

REQUEST FOR CORRECTED FILING RECEIPT

1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.

2. There is an error with respect to the following data:

Incorrectly entered and/or omitted

- | <i>Error in</i> | <i>Correct data</i> |
|---------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------|
| 1. <input type="checkbox"/> Applicant's name | 1. |
| 2. <input type="checkbox"/> Applicant's address | 2. |
| 3. <input checked="" type="checkbox"/> Title (as indicated on attached copy of Declaration/POA and Preliminary Amendment) | 3. OPTICAL PICKUP DRIVING APPARATUS AND OPTICAL PICKUP BEAM SPOT POSITIONING METHOD |
| 4. <input type="checkbox"/> Filing Date | 4. |
| 5. <input type="checkbox"/> Serial Number | 5. |
| 6. <input type="checkbox"/> Foreign/PCT Application Reference | 6. |
| 7. <input type="checkbox"/> Other | 7. |

3. No fee is due.

RatnerPrestia
P. O. Box 980
Valley Forge, PA 19482-0980
(610) 407-0700

Respectfully submitted,

Lawrence E. Ashery, Reg. No. 34,515

CERTIFICATE OF MAILING (37 CFR 1.8a)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Filing Receipt Corrections, Office of Initial Patent Examination, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: September 25, 2006

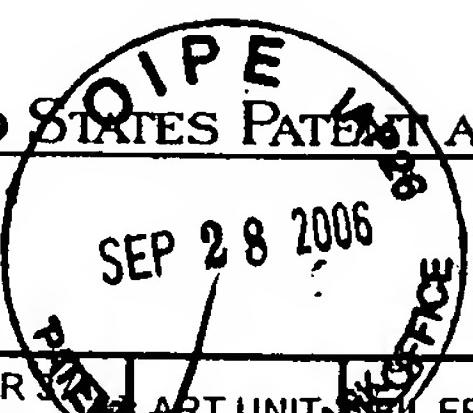
Donna M. Wellings
Donna M. Wellings

Enclosures: Copy of Declaration/Power of Attorney
Copy of Pgs. 1-2 of Preliminary Amendment

MTS-3563US



UNITED STATES PATENT AND TRADEMARK OFFICE



UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
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APPL NO.	FILING OR (c) DATE	ART UNIT	MAIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/550,539	09/22/2005	2626	295	RATNERPRESTIA	MTS-3563US	15	54

JUN 19 2006

CONFIRMATION NO. 5417 ✓

23122
 RATNERPRESTIA
 P O BOX 980
 VALLEY FORGE, PA 19482-0980

FILING RECEIPT



OC000000019165386

Date Mailed: 06/12/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Yoshiaki Komma, Osaka, JAPAN
 Akira Yoshikawa, Nara, JAPAN;

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Power of Attorney: The patent practitioners associated with Customer Number 23122.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP04/14673 09/29/2004 ✓

Foreign Applications

JAPAN 2003-345398 10/03/2003 ✓

If Required, Foreign Filing License Granted: 06/07/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/550,539**

Projected Publication Date: 09/14/2006

Non-Publication Request: No

Early Publication Request: No

Title

MBR 27
6-K-10

[Optical pickup driving device and optical pickup focus pull-in method]

Preliminary Class

369

Optical Pickup Driving Apparatus
and Optical Pickup Beam Spot
Positioning Method

title
different
in Patent

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

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Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

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COPY



**DECLARATION/
POWER OF ATTORNEY
FOR UTILITY OR DESIGN
PATENT APPLICATION**

Declaration Submitted With Initial Filing (37 CFR 1.63)

Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

Supplemental Declaration (37 CFR 1.67)

Attorney Docket Number:	MTS-3563US
First Named Inventor:	Yoshiaki KOMMA
COMPLETE IF KNOWN	
Application Number:	To Be Assigned
Filing Date:	Herewith
Art Unit:	
Examiner Name:	

I hereby declare that:

Each inventor's residence, mailing address, and citizenship are as stated below next to their name.

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **OPTICAL PICKUP DRIVING APPARATUS AND OPTICAL PICKUP BEAM SPOT POSITIONING METHOD**

the specification of which

(Title of the Invention)

is attached hereto

OR

was filed on (MM/DD/YYYY) 09/29/2004 as United States Application or PCT International Application Number PCT/JP2004/014673

and was amended on (MM/DD/YYYY) _____ (if applicable). I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				Yes	No
2003-345398	JAPAN	10/03/2003	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Additional foreign application numbers are listed on a supplemental priority data sheet attached hereto.

COPY

Declaration/Power Of Attorney for Utility or Design Patent Application
 (continued)

I hereby appoint:

Practitioners at Customer Number **23122**

OR

Practitioner(s) named below:

COPY

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Direct all correspondence to:	<input checked="" type="checkbox"/> Practitioners Customer Number listed above; OR <input checked="" type="checkbox"/> Correspondence Address Below				
Name:	RatnerPrestia				
Address:	P. O. Box 980				
City:	Valley Forge	State:	PA	Zip:	19482
Country:	USA	Telephone:	(610) 407-0700	Fax:	(610) 407-0701

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:		<input type="checkbox"/> A Petition has been filed for this unsigned inventor.	
Given Name (first and middle (if any))		Family Name or Surname	
Yoshiaki		KOMMA	
Inventor's Signature	<i>Yoshiaki Komma</i>		Date: September 8, 2005
Residence: Osaka	State:	Country: JAPAN	Citizenship: JAPAN
Mailing Address:	1-36-7, Kuzuha-mendori-cho, Hirakata-shi		
Mailing Address:			
City: Osaka	State:	Zip: 573-1113	Country: JAPAN
<input checked="" type="checkbox"/> Additional inventors are listed on the next page.			

Declaration/Power Of Attorney for Utility or Design Patent Application
 (continued)

Name of Second Inventor:		<input type="checkbox"/> A Petition has been filed for this unsigned inventor.	
Given Name (first and middle (if any))		Family Name or Surname	
Akira		YOSHIKAWA	
Inventor's Signature	<i>Akira YoshiKawa</i>		
			Date: September 8, 2005
Residence: NARA	State:	Country: JAPAN	Citizenship: JAPAN
Mailing Address: 3-3-10, Tomiokita, Nara-shi			
Mailing Address:			
City: NARA	State:	Zip: 631-0076	Country: JAPAN
Name of Third Inventor:		<input type="checkbox"/> A Petition has been filed for this unsigned inventor.	
Given Name (first and middle (if any))		Family Name or Surname	
Inventor's Signature			
			Date: _____
Residence:	State:	Country:	Citizenship:
Mailing Address:			
Mailing Address:			
City:	State:	Zip:	Country:
Name of Fourth Inventor:		<input type="checkbox"/> A Petition has been filed for this unsigned inventor.	
Given Name (first and middle (if any))		Family Name or Surname	
Inventor's Signature			
			Date: _____
Residence:	State:	Country:	Citizenship:
Mailing Address:			
Mailing Address:			
City:	State:	Zip:	Country:
<input type="checkbox"/> Additional inventors are listed on		Supplemental Sheet(s).	



MTS-3563US

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No: To Be Assigned
Applicant: Y. Komma et al.
Filed: Herewith
Title: OPTICAL PICKUP DRIVE DEVICE AND OPTICAL PICKUP FOCUS PULL-IN
METHOD
TC/A.U.:
Examiner:
Confirmation No.:
Docket No.: MTS-3563US

COPY

PRELIMINARY AMENDMENT

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Prior to examination, please amend the above-identified application as follows:

- Amendments to the Title** begin on page 2 of this paper.
- Amendments to the Specification** begin on page 3 of this paper.
- Amendments to the Claims** are reflected in the listing of claims which begins on page 13 of this paper.
- Amendments to the Drawings** begin on page 19 of this paper and include attached replacement sheets.
- Amendments to the Abstract** are on page 20 of this paper. A clean version of the Abstract is on page 22 of this paper.
- Remarks/Arguments** begin on page 21 of this paper.

MTS-3563US

Amendments to the Title:

Please replace the title with the following:

~~OPTICAL PICKUP DRIVE DEVICE AND OPTICAL PICKUP FOCUS PULL IN METHOD~~
OPTICAL PICKUP DRIVING APPARATUS AND OPTICAL PICKUP BEAM SPOT POSITIONING
METHOD



COPY